



0300

Docket No. CRD-0957

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : David Christian Lentz et al.

Serial No. : 09/966,447 Art Unit:

Filed : September 28, 2001 Examiner:

For : COATED MEDICAL DEVICES

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December 17, 2001

(Date of Deposit)

Carl J. Evens

(Name of applicant, assignee, or Registered Representative)

A handwritten signature of Carl J. Evens.

(Signature)

December 17, 2001

(Date of Signature)

Commissioner for Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Pursuant to 37 C.F.R. §1.56 and in accordance with 37 C.F.R. §§1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 C.F.R. §1.56 (b).

Applicant(s) reserve(s) the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this

information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist.

In accordance with §1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified national application (other than a continued prosecution application under §1.53(d)), within three months of the date of entry into the national stage of the above identified application as set forth in §1.491, or before the mailing date of a first Office Action on the merits of the above-identified application, or before the mailing date of a first Office Action after the filing of a request for continued examination under §1.114, no additional fee is required.

In accordance with §1.129(a), this Information Disclosure Statement is being filed in connection with the first or second After Final Submission, therefore:

- Statement in Accordance with §1.97(e)
(attached); or
- Please charge Deposit Account No. 10-0750/ /
the fee of \$180.00 as set forth in §1.17(p).

In accordance with §1.97(c), this Information Disclosure Statement is being filed after the period set forth in §1.97(b) above but before the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311, or an action that otherwise closes prosecution and that it is accompanied by one of:

- Statement in Accordance with §1.97(e)
(attached); or
 - Please charge Deposit Account No. 10-0750/ /
the fee of \$180.00 as set forth in §1.17(p).
- In accordance with §1.97(d), this Information Disclosure Statement is being filed after the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311 but before the payment of the Issue Fee. Applicant(s) hereby petition(s) for consideration of this Information Disclosure Statement. Included are: Statement in Accordance with §1.97(e) as set forth below and the fee of \$180.00 as set forth in §1.17(p).
- Copies of each of the references listed on the attached Form PTO-1449 are enclosed herewith.
 - Copies of references listed on the attached Form PTO-1449 are enclosed herewith EXCEPT THAT:
 - In view of the voluminous nature of references [list as appropriate], and the likelihood that these references are available to the Examiner, copies are not enclosed herewith.
 - If any of the foregoing publications are not available to the Examiner, Applicant will endeavor to supply copies at the Examiner's request.
 - There are no listed references which are not in the English language.

The relevance of those listed references which are not in the English language is as follows:

Attached are copies of search report(s) from corresponding patent application(s), which are listed on the attached Submission Under MPEP 609 D.

Attached are the following non-published pending patent applications which may be deemed relevant, which are listed on the attached Submission Under MPEP 609 D.

Please charge any deficiency or credit any overpayment to Deposit Account No. 10-0750/CRD-0957/CJE. This form is submitted in triplicate.

Respectfully submitted,



Carl J. Evens
Reg. No. 33874
Attorney for Applicants

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DATED: December 17, 2001



PTO/SB/08A (08-00)

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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(use as many sheets as necessary)
Sheet 1 of 10

<i>Application Number</i>	09/966,447
<i>Filing Date</i>	September 28, 2001
<i>First Named Inventor</i>	David Christian Lentz et al.
<i>Group Art Unit</i>	
<i>Examiner Name</i>	
<i>Attorney Docket Number</i>	CRD-0957

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear
		Number	Kind Code ² (if known)			
		D359,802		Fontaine	6/27/1995	
		3,932,627		Margraf	01-13-1976	
		4,441,216		Ionescu et al.	4/10/1984	
		4,580,568		Gianturco	4/8/1986	
		4,800,882		Gianturco	1/31/1989	
		4,856,516		Hillstead	8/15/1989	
		4,886,062		Wiktor	12/12/1989	
		4,907,336		Gianturco	3/13/1990	
		4,969,458		Wiktor	11/13/1990	
		4,990,131		Dardik	2/5/1991	
		4,990,155		Wilkoff	2/5/1991	
		4,994,071		MacGregor	2/19/1991	
		5,015,253		MacGregor	5/14/1991	
		6,120,536		Dinge et al.	09-19-2000	

FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear	T ⁶
		Office ³	Number ⁴	KindCode ⁵				
		EP	0 712 615		Advanced Cardiovascular Systems Inc.	5/22/96		
		EP	0 716 836		Advanced Cardiovascular Systems Inc.	6/19/96		
		EP	0 761 251		Kabushikikaisha Igaki Iryo Sekkei	3/12/97		
		EP	0 850 651		Schneider Inc.	7/1/98		
		WO	96/32907		Schneider Inc.	10/24/96		
		WO	98/23228		Alza Corporation	6/4/98		
		WO	98/34669		Ensovasc Ltd. Inc.	8/13/98		

Examiner Signature	Date Considered
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 2 of 10

Application Number

09/966,447

Filing Date

September 28, 2001

First Named Inventor

David Christian Lentz et al.

*Group Art Unit**Examiner Name**Attorney Docket Number*

CRD-0957

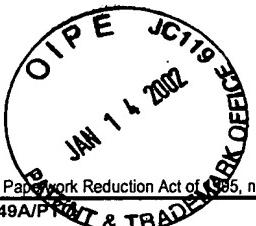
U.S. PATENT DOCUMENTS

Examiner Initials	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear
		Number	Kind Code ² (if known)			
	5,035,706			Gianturco	7/30/1991	
	5,041,126			Gianturco	8/20/1991	
	5,064,435			Porter	11/12/1991	
	5,104,404			Wolff	4/14/1992	
	5,116,365			Hillstead	5/26/1992	
	5,122,154			Rhodes	6/16/1992	
	5,131,908			Dardik et al.	7/21/1992	
	5,133,732			Wiktor	7/28/1992	
	5135,536			Hillstead	8/4/1992	
	5,163,958			Pinchuk	11/17/1992	
	5,171,262			MacGregor	12/15/1992	
	5,176,660			Truckai	1/5/1993	
	5,178,618			Kandarpa	1/12/1993	
	5,192,307			Wall	3/9/1993	
	5,217,483			Tower	6/8/1993	
	5,222,971			Willard et al.	6/29/1993	
	5,234,456			Silvestrini, T.	8/10/93	
	5,246,445			Yachia et al.	9/21/93	
	5,258,021			Duran	11/2/93	
	5,266,073			Wall	11/30/93	
	5,275,622			Lazarus et al.	1/4/94	
	5,282,823			Schwartz et al.	2/1/94	
	5,282,824			Gianturco	2/1/94	
	5,292,331			Boneau	3/8/94	
	5,304,200			Spaulding	4/19/94	

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Sheet 3 of 10

Application Number

09/966,447

Filing Date

September 28, 2001

First Named Inventor

David Christian Lentz et al.

Group Art Unit

Examiner Name

Attorney Docket Number

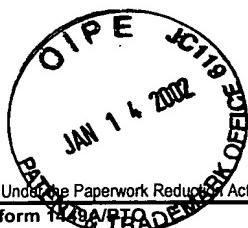
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U.S. PATENT DOCUMENTS

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		Number	Kind Code ² (if known)			
		5,314,444		Gianturco	5/24/1994	
		5,314,472		Fontaine	5/24/1994	
		5,334,301		Heinke et al.	8/2/1994	
		5,342,348		Kaplan, A.	8/30/1994	
		5,342,387		Summers	8/30/94	
		5,354,257		Roubin et al.	10/11/94	
		5,354,308		Simon et al.	10/11/94	
		5,366,504		Andersen et al.	11/22/94	
		5,370,683		Fontaine	12/6/94	
		5,370,691		Samson	12/6/94	
		5,375,612		Cottenceau et al.	12/27/94	
		5,376,112		Duran	12/27/94	
		5,382,261		Palmaz	1/17/95	
		5,383,928		Scott et al.	1/24/1995	
		5,387,235		Chuter	2/7/95	
		5,389,106		Tower	2/14/95	
		5,395,390		Simon et al.	3/7/95	
		5,397,355		Marin et al.	3/14/95	
		5,403,341		Solar	4/4/95	
		5,405,377		Cragg	4/11/95	
		5,411,549		Peters	5/2/95	
		5,423,885		Williams	6/13/95	
		5,441,515		Khosravi et al.	8/15/95	
		5,441,516		Wang et al.	8/15/95	
		5,443,477		Marin et al.	8/22/95	
		5,443,496		Schwartz et al.	8/22/95	

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Sheet 4 of 10

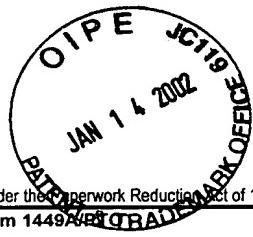
<i>Application Number</i>	09/966,447
<i>Filing Date</i>	September 28, 2001
<i>First Named Inventor</i>	David Christian Lentz et al.
<i>Group Art Unit</i>	
<i>Examiner Name</i>	
<i>Attorney Docket Number</i>	CRD-0957

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		Number	Kind Code ² (if known)			
		5,443,498		Fontaine	8/22/95	
		5,443,500		Sigwart	8/22/95	
		5,449,372		Schmaltz et al.	9/12/95	
		5,449,373		Pinchasik et al.	9/12/95	
		5,449,382		Dayton, M.	9/12/95	
		5,464,450		Buscemi et al.	11/7/95	
		5,464,650		Berg et al.	11/7/95	
		5,500,013		Buscemi et al.	3/19/96	
		5,510,077		Dinh et al.	4/23/96	
		5,545,208		Wolff et al.	8/13/96	
		5,554,954		Takahashi	9/10/96	
		5,554,182		Dinh et al.	9/10/96	
		5,562,922		Lambert, T.	10/8/96	
		5,571,166		Dinh et al.	11/5/96	
		5,578,075		Dayton, M.	11/26/96	
		5,591,224		Schwartz et al.	1/7/97	
		5,591,227		Dinh et al.	1/7/97	
		5,599,352		Dinh et al.	2/4/97	
		5,603,722		Phan et al.	2/18/97	
		5,605,696		Eury et al.	2/25/97	
		5,607,463		Schwartz et al.	3/4/97	
		5,607,475		Cahalan et al.	3/4/97	
		5,609,629		Fearnott et al.	3/11/97	
		5,624,411		Tuch, R.	4/29/97	
		5,628,785		Schwartz et al.	5/13/97	
		5,629,077		Turnlund et al.	5/13/97	
		5,632,840		Campbell, P.	5/27/97	

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Information Disclosure Statement by Applicant

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 5 of 10

Application Number 09/966,447

Filing Date September 28, 2001

First Named Inventor David Christian Lentz et al.

Group Art Unit

Examiner Name

Attorney Docket Number CRD-0957

U.S. PATENT DOCUMENTS

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		Number	Kind Code ² (if known)			
	5,632,763			Glastra, H.	5/27/97	
	5,637,113			Tartaglia et al.	6/10/1997	
	5,643,312			Fischell et al.	7/1/97	
	5,669,924			Shaknovich, A.	9/23/97	
	5,679,400			Tuch, R.	10/21/1997	
	5,679,659			Verhoeven et al.	10/21/1997	
	5,693,085			Buirge et al.	12/2/1997	
	5,697,967			Dinh et al.	12/16/1997	
	5,697,971			Fischell et al.	12/16/1997	
	5,649,952			Lam	7/22/1997	
	5,700,286			Tartaglia et al.	12/23/1997	
	5,707,385			Williams, M.	1/13/1998	
	5,725,567			Wolff et al.	3/10/1998	
	5,728,420			Keogh, J.	3/17/1998	
	5,733,327			Igaki et al.	3/31/1998	
	5,735,897			Buirge, A.	4/17/1998	
	5,755,734			Richter et al.	5/26/98	
	5,799,384			Schwartz et al.	9/1/98	
	5,800,507			Schwartz, R.	9/1/98	
	5,800,508			Goicoechea et al.	9/1/98	
	5,820,918			Ronan et al.	10/13/98	
	5,824,048			Tuch, R.	10/20/98	
	5,824,049			Ragheb et al.	10/20/98	
	5,833,651			Donovan et al.	11/10/98	
	5,837,008			Berg et al.	11/17/98	
	5,843,172			Yan, J.	12/1/98	
	5,849,034			Schwartz, R.	12/15/98	
	5,851,217			Wolff et al.	12/22/98	

Examiner Signature	Date Considered
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 6 of 10

Application Number

09/966,447

Filing Date

September 28, 2001

First Named Inventor

David Christian Lentz et al.

Group Art Unit

Examiner Name

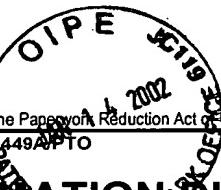
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U.S. PATENT DOCUMENTS

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		Code ² Number known)	Kind (if)			
		5,851,231		Wolff et al.	12/22/98	
		5,861,027		Trapp, R.	1/19/99	
		5,865,814		Tuch, R.	2/2/99	
		5,871,535		Wolff et al.	2/16/99	
		5,879,697		Ding et al.	3/9/99	
		5,882,335		Leone et al.	3/16/99	
		5,820,917		Tuch, R.	10/13/98	
		5,837,313		Ding et al.	11/17/98	
		5,728,150		McDonald et al.	3/17/98	
		5,283,257		Gregory et al.	2/1/94	
		5,288,711		Mitchell et al.	2/22/94	
		5,516,781		Morris et al.	5/14/96	
		5,563,146		Morris et al.	10/8/96	
		5,646,160		Morris et al.	7/8/97	
		3,657,744		Ersek	4/25/72	
		4,503,569		Dotter	3/12/85	
		4,553,545		Maass et al.	11/19/85	
		4,733,665		Palmaz	3/29/88	
		4,776,337		Palmaz	10/11/88	
		5,102,417		Palmaz	4/7/92	
		5,195,984		Shalz	3/23/93	
		5,290,305		Inoue	3/1/94	
		5,421,955		Lau	6/6/95	
		5,496,365		Sgro	3/5/96	
		5,527,354		Fontaine et al.	6/18/96	
		5,569,295		Lam	10/29/96	
		5,591,197		Orth et al.	1/7/97	

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 7 of 10

Application Number

09/966,447

Filing Date

September 28, 2001

First Named Inventor

David Christian Lentz et al.

*Group Art Unit**Examiner Name**Attorney Docket Number*

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		Number	Kind Code ² (if known)			
		4,292,965		Nash et al.	10-06-1981	
		4,613,665		Larm	09-23-1986	
		4,655,771		Wallsten	04-07-1987	
		4,872,867		Joh	10-10-1989	
		4,916,193		Tang et al.	04-10-1990	
		4,954,126		Wallsten	09-04-1990	
		5,053,048		Pinchuk	10-01-1991	
		5,061,275		Wallsten et al.	10-29-1991	
		5,092,877		Pinchuk	03-03-1992	
		5,163,952		Froix	11-17-1992	
		5,180,366		Woods	01-19-1993	
		5,182,317		Winters et al.	01-26-1993	
		5,185,408		Tang et al.	02-09-1993	
		5,226,913		Pinchuk	07-13-1993	
		5,258,020		Froix	11-02-1993	
		5,262,451		Winters et al.	11-16-1993	
		5,292,802		Rhee et al.	03-08-1994	
		5,304,121		Sahatjian	04-19-1994	
		5,308,889		Rhee et al.	05-03-1994	
		5,338,770		Winters et al.	08-16-1994	
		5,356,433		Rowland et al.	10-18-1994	
		5,380,299		Fearnott et al.	01-10-1995	
		5,415,619		Lee et al.	05-16-1995	
		5,419,760		Narciso, Jr.	05-30-1995	
		5,429,618		Keogh	07-04-1995	
		5,447,724		Heimus et al.	09-05-1995	
		6,214,901	B1	Chudzik et al.	04-10-2001	
		6,258,121	B1	Yang et al.	07-10-2001	
		2001/0007083	A1	Roorda (PATENT APP. PUBLICATION)	07-05-2001	



PTO/SB/08A (08-00)

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<i>Application Number</i>	09/966,447
<i>Filing Date</i>	September 28, 2001
<i>First Named Inventor</i>	David Christian Lentz et al.
<i>Group Art Unit</i>	
<i>Examiner Name</i>	
<i>Attorney Docket Number</i>	CRD-0957

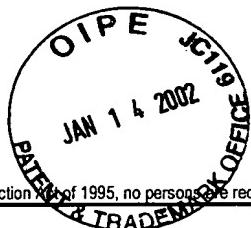
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Examiner Initials	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear	T ⁶
		Office ³	Number ⁴	KindCode ⁵				
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Examiner Signature	Date Considered
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<i>Application Number</i>	09/966,447
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Sheet 10 of 10

Application Number

09/966,447

Filing Date

September 28, 2001

First Named Inventor

David Christian Lentz et al.

Group Art Unit

Examiner Name

Attorney Docket Number

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